



UBS International  
UBS Financial Services Inc.  
1285 Avenue of the Americas, 19<sup>th</sup> Fl.  
New York, NY 10019  
Tel: 212-713-2098  
Fax: 212-713-1672  
Toll free 800-566-2086 Ext. 2098  
[steven.rozencwaig@ubs.com](mailto:steven.rozencwaig@ubs.com)

Steven M. Rozencwaig  
Vice President-Wealth Management

Financial Advisor

[www.ubs.com](http://www.ubs.com)

Friday, August 15, 2014

Re: Crash Case #: 02MD1448  
Case #: 02CV4728  
Elsa Siri Guardian For Jose Siri

Dear Judge Sweet,

As the financial advisor on this account, I have recently received a request from Ms. Siri to send her \$2,000 so she can use to pay for clothing and other necessities for school which she cannot personally pay for. She also needs to pay her attorney/accountant to prepare the annual accounts pursuant to SCPA 1719 for the past 8 years for the sum of \$6,000. We continue to comply with the Custodial and Investment Advisory Agreement and over the years have limited any payments to pay for Jose's education; where we've paid the tuition directly to the institution. Since these items are not amongst the items spelled out in the Agreement, I am requesting permission for sending her the funds and paying the attorney/accountant. Your help is greatly appreciated.

Sincerely,

Steven M. Rozencwaig  
Vice President-Wealth Management

*So ordered  
Sweet DSD  
8.22.14*